Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

(Modified)

Patent and Trademark Office

Information Disclosure Statement

by Applicant

(Use several sheets if necessary)

(37 CFR \$128(b))

U.S. Department of Commerce Attorney's Docket No.

10559-633001

Applicant

Carl S. Marshall et al.

Filing Date

January 4, 2002

Group Art Unit

January 4, 2002

RADEMARY Examine			U.S. Pate	nt Documents			
	Desig.	Document	Publication				Filing Date
r Initial	ID.	Number	Date	Patentee	Class	Subclass	If Appropriate
WP	AA	4,600,919	07-1986	Stem			
(inf	AB	4,747,052	05-1988	Hishinuma et al.			
arign	AC	4,835,712	05-1989	Drebin et al.			
ONT	AD	4,855,934	08-1989	Robinson			_
anv	AE	4,901,064	02-1990	Deering		-	
ONIS	AF	5,124,914	06-1992	Grangeat			
aw	AG	5,163,126	11-1992	Einkauf et al.			
ar/	AH	5,371,778	12-1994	Yanof et al.			
0 W	AI	5,611,030	03-1997	Stokes			
0/W	AJ	5,731,819	03-1998	Gagne et al.			
0 2	ΑK	5,757,321	05-1998	Billyard		-	
O vp	AL	5,786,822	07-1998	Sakaibara			
avr	AM	5,805,782	09-1998	Foran		_	
	AN	5,809,219	09-1998	Pearce et al.			
an	AO	5,812,141	09-1998	Kamen et al.			
an	AP	5,847,712	12-1998	Salesin et al.		- 4	
OW?	AQ	5,894,308	04-1999	Isaacs			
an	AR	5,929,860	07-1999	Норре			
OW	AS	5,933,148	08-1999	Oka et al.		-	
ãM	AT	5,949,969	09-1999	Suzuoki et al.	-		'
OW	AU	5,966,133	10-1999	Норре		. —	
(W)	AV	5,966,134	10-1999	Arias		—-	
(W)	AW	5,974,423	10-1999	Margolin	-		-
00	AX	6,054,999	04-2000	Strandberg			
(W	AY	6,057,859	05-2000	Handelman et al.			
ans	AZ	6,078,331	06-2000	Pulli et al.			·
aw	AAA	6,115,050	09-2000	Landau et al.			

Examiner Signature	Date Considered
TO THE TAXABLE PARTY OF THE PAR	7-7-65
EXAMINER: Initials citation considered. Uraw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	

estitute Form PTO-1449 Information Disclosure Statement by Applicant (Use several sheets if necessary)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 10559-633001

Application No. 10/039,425

Applicant

Carl S. Marshall et al.

Filing Date January 4, 2002

Group Art Unit 2672

U.S. Patent Documents Publication Filing Date Examine Desig. **Document** Number Patentee Class **Subclass** If Appropriate r Initial ID Date 6,175,655 01-2001 George et al. ABB 6,191,787 02-2001 Lu et al. ACC 02-2001 6,191,796 Tarr ADD 6,198,486 03-2001 Junkins et al. **AEE** 05-2001 6,201,549 Bronskill **AFF** 03-2001 Migdal et al. 6,208,347 AGG 6,219,070 04-2001 Baker et al. **AHH** 05-2001 6,239,808 Kirk et al. ΑII 06-2001 Snyder et al. 6,252,608 AJJ 07-2001 6,262,737 Li et al. AKK 6,262,739 07-2001 Migdal et al. ALL 6,292,192 09-2001 Moreton **AMM** 11-2001 6,317,125 Persson ANN 6,337,880 01-2002 Cornog et al. AOO 05-2002 6,388,670 Naka et al. APP 06-2002 6,405,071 Analoui **AQQ** 6,437,782 08-2002 Pieragostini et al. ARR 11-2002 Yoshioka et al. 6,478,680 **ASS** 05-2003 6,559,848 O'Rourke ATT 6,593,924 07-2003 Lake et al. AUU 6,593,927 07-2003 Horowitz et al. AVV 08-2003⁻ 6,608,627 Marshall et al. **AWW** 6,608,628 08-2003 Ross et al. AXX 2001/0026278 10-2001 Arai et al. AYY 2002/0101421 08-2002 Pallister AZZ

	Foreign	n Patent Doc	uments or P	ublished Foreign P	atent A	Application	าร
Examiner	Desig.	Document	Publication	Country or	Class	Subclass	Translation

Examiner Signati	nuë	
Examiner Signati	R = 0	
$\mathcal{W}_{\mathcal{M}}$	What is	

ALBERT W. PALADRA

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Substitute Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10559-633001 Applicant	Application No. 10/039,425
Ι.,	by Ap	closure Statement oplicant	Carl S. Marshall et al	
BITELS 14	(Use several sh	eets if necessary)	Filing Date January 4, 2002	Group Art Unit 2672
	RADEMARKS			5Yesii SNo
	AAAA			

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
	4000	Appel, Arthur, "The Notion of Quantitative Invisibility and the Machine Rendering of
(DWG	ABBB	Solids." Proceedings of 22nd National Conference Association for Computing Machinery
		1967.
Cens	ACCC	Buck et al., "Performance-Driven Hand Drawn Animation", ACM (NPAR2000), pgs. 101 - 108 (2000).
CC*04		Catmull et al., "Recursively Generated B-Spline Surfaces on Arbitrary Topological
ann	ADDD	Meshes," Computer Aided Geometric Design, 10(6):350 - 355 (1978).
(2007)		Coelho et al., "An Algorithm for Intersecting and Trimming Parametric Meshes", ACM
ang	AEEE	SIGGRAPH, pgs. 1 - 8 (1998).
1	AFFF	Deering, M., "Geometry Compression," Computer Graphics. SIGGRAPH '95, pages 13-
Oton (74.11	20, 1995.
and	AGGG	DeRose et al., "Subdivisional Surfaces in Character Animation", ACM, SIGGRAPH'98,
CO MA		pgs. 85 - 94 (1998).
ans	АННН	Elber, Gershon, "Interactive Line Art Rendering of Freeform Surfaces", Eurographics'99,
(X, 41)		18(3):C1 - C12 (1999).
	AIII	Gooch et al., "A Non-Photorealistic Lighting Model for Automatic Technical Illustration," Computer Graphics Proceedings, Annual Conference Series, SIGGRAPH'98, pgs. 447-452
Our	АШ	(1998).
	AJJJ	
aun	733	Gooch et al., "Interactive Technical Illustration," ACM Interactive 3D, pgs. 31 - 38 (1999).
(m)	AKKK	Heidrich et al., "Realistic, Hardware-Accelerated Shading and Lighting," ACM, (SIGGRAPH'99), pgs. 171 - 178 (1999).
6	ALLL	Kumar et al., "Interactive Display of Large Scale NURBS Models", ACM, Symp. On
Ohl	ALLL	Interactive 3D Graphics, pgs. 51 - 58 (1995).
	AMMM	Lake et al., "Stylized Rendering Techniques for Scalable Real-Time 3D Animation",
Our		NPAR, pgs. 101 - 108 (2000).
awn	ANNN	Lander, Jeff, "Making Kine More Flexible," Game Developer Magazine, 5 pgs., November 1998.
Onm	A000	Lander, Jeff, "Skin Them Bones," Game Developer Magazine, 4 pgs., May 1998.
UW	APPP	Pedersen, "A Framework for Interactive Texturing on Curved Surfaces", ACM, pgs. 295 - 301 (1996).
		"pmG Introduces Messiah: Animate 3.0", URL:
	AQQQ	http://www.digitalproducer.com/aHTM/Articles/july_2000/july_17_00/pmg_intros_messia
Tur		h_animate.htm (Accessed 10/26/2004) 2 pgs.
		Pueyo, X. et al., "Rendering Techniques '96," Proc. of Eurographics Rendering Workshop
1 Ont: 1	ARRR	1996, EUROGRAPHICS, p[gs. 61 - 70 (1996).
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,

Examiner Signature	Date Considered
PATENT SYSTEM	7-7-65
EXAMINER: Initials citation considered. Draw line unrough citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.*	
	Substitute Disclosure Form (PTO-1449)

01	by /	U.S. Department of Commerce Patent and Trademark Office	Attomey's Docket No. 10559-633001	Application No. 10/039,425
MAL	L a 2007 L A	sclosure Statement Applicant	Applicant Carl S. Marshall et al.	Marshall et al. Group Art Unit
artes .	(Use several:	sheets if necessary)	Filing Date January 4, 2002	• ·

(3/ CFGGB1.3	<u> </u>	
DEMARKATIS	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner		ocuments (menue Author, Title, Date, and Flace of Fublication)
Initial	Desig.	Document
arp	ASSS	Rockwood, A. et al., "Real-time Rendering of Trimmed Surfaces," Computer Graphics (SIGGRAPH '89 Proceedings) 23:107 - 116 (1989).
aur	ATTT	Sousa, M., et al., "Computer-Generated Graphite Pencil Rendering of 3-D Polygonal Models", Eurographics'99, 18(3):C195 - C207 (1999).
(In C	AUUU	Stam, J., "Exact Evaluation of Catmull-Clark Subdivision Surfaces at Arbitrary Parameter Values", SIGGRAPH 98 Conference Proceedings, Annual Conference Series, pgs. 395-404 (1998).
ans	AVVV	Taubin et al., "3D Geometry Compression", SIGGRAPH'98 Course Notes (1998).
	AWWW	Thomas (Contributor) et al., "The Illusion of Life: Disney Animation" 47-51
ann	AXXX	Wilhelms, J. & Van Gelder, A., "Anatomically Based Modeling," Univ. California Santa

NATE

Examiner Signature	ALBERT W. PALADIRE	Date Considered
01-1 V 0 V	Mail Self of Language	\ \tag{1}
aht. W. Jalon	POTENT EXAMMER	1 /-1-6 5
EXAMINER: Initials citation con	sidered. Draw line through citation if no	ot in conformance and not considered. Include copy of this form with
next communication to applicant	_	••

Substitute Form PTO-1449 Obtilifed	U.S. Department of Commerce Patent and Trademark Office		
All to the Ar	closure Statement		
(Use several st	eets if necessary)		Group Art Unit
Malen in			, -

			U.S. Pate	ent Documents				
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
aus	AA	US 4,600,919	07/15/1986	Stern				
ava	AB	US 5,124,914	06/23/1992	Grangeat		P	ECEIVE	
ant	AC	US 5,163,126	11/10/1992	Einkauf et al.				
an	AD	US 5,731,819	03/24/1998	Gagne et al.			AUG 1 3 2003	
OND	AE	US 6,057,859	05/02/2000	Handelman et al.		- Te chr	ology Center 2	100
avo	AF	US 6,208,347	03/27/2001	Migdal et al.			-07-201101-2	100
ON	AG	US 6,337,880	01/08/2002	Cornog et al.				
an	AH	US 6,388,670	05/14/2002	Naka et al.			_	

Foreign Patent Documents or Published Foreign Patent Applications									
Examiner	Desig.	Document	Publication	Country or			Trans	Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No	
	AI	•							
	ΑJ								

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.				
Initial	ID	Document			
	AK_	Alliez et al., "Progressive Compression for Lossless Transmission of Triangle Meshes." University			
		of Southern California, Los Angeles, CA: 195-202.			
	AL	Bajaj et al., "Progressive Compression and Transmission of Arbitrary Triangular Meshes."			
-		Department of Computer Sciences, University of Texas at Austin, Austin, TX.			
au r	AM	Chow, "Optimized Geometry Compression for Real-time Rendering." Massachusetts Institute of Technology, Proceedings Visualization 1997, October 19-24, 1997, Phoenix, AZ: 347-354.			
	AN	Cohen-Or et al., "Progressive Compression of Arbitrary Triangular Meshes." Computer Science			
	Ž.	Department, School of Mathematical Sciences, Tel Aviv, Israel.			
anp	AO	Dyn, N., Levin, D., and Gregory, J.A. "A Butterfly Subdivision Scheme for Surface Interpolation			
		with Tension Control." ACM Transactions on Graphics, Vol. 9, No. 2 (1990).			
		Elber, "Line Art Rendering via a Coverage of Isoperimetric Curves." IEEE Transactions on			
au	AP	Visualization and Computer Graphics, Vol. 1, Department of Computer Science, Technion, Israel Institute of Technology, Haifa, Israel (September, 1995).			
an4	AQ	Foley et al., "Computer graphics: principal and practice." Addison-Wesley Publishing Company, Reading, MA, 1996: 1060-1064.			
ans	AR	Hoppe, "Efficient Implementation of progressive meshes." Coput. & Graphics, Vol. 22, No. 1: 27-36 (1998).			
		Hoppe, "Progressive Meshes." Microsoft Research: 99-108.			
2	AS:	http://www.research.microsft.com/research/graphics/hoppe/			
Evenines Clea					

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw Total Communication to applicant.

Substitute Disclosure Form (PTO-14)

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modifiedo) U.S. Department of Commerce Attorney's Docket No. Application No. Patent and Trademark Office 10559-633001 10/039,425 Applicant prmation Disclosure Statement Carl S. Marshall et al. by Applicant (Use several sheets if necessary) Filing Date **Group Art Unit** 21232121 January 4, 2002 Other Documents (include Author, Title, Date, and Place of Publication Examiner ۵, Initial ID Document Hoppe, "Progressive Simplicial Complexes." Afterosoft Research. ΑT http://www.research.microsft.com/~hoppe/ Landsdown et al., "Expressive Rendering: A Review of Nonphotorealistic Techniques" IEEE ΑU Computer graphics and Applicatons: 29-37 (1995) Lasseter, "Principles of Traditional Animation Applied to 3D Computer Animation" Pixar, San ΑV Rafael, California, 1987. Lee, "Navigating through Triangle Meshes Implemented as Linear Quadtrees" Computer Science AW Department, Center for Automation Research, Institute for Advanced Computer Studies, University of Maryland College Park, MD, April, 1998. Lewis, "Pose Space Deformation: A Unified Approach to Shape Interpolation and Skeleton-Driven AX Deformation." Centropolis, New Orleans, LA: 165-172. Ma et al., "Extracting Feature Lines for 3D Unstructured Grids" Institute for Computer Applications AY in Science and Engineering (ICASE), NASA Langley Research Center, Hampton, VA, IEEE (1997). Markosian, "Real-Time Nonphotorealistic Rendering" Brown University site of the NSF Science and Technology Center for Computer Graphics and Scientific Visualization, Providence, RI. Pajarola et al., "Compressed Progressive Meshes" Graphics, Visualization & Usability Center, AAA College of Computing, Georgia Institute of Technology, January, 1999. Popovic et al., "Progressive Simplicial Complexes" Microsoft Research, ABB http://www.research.microsft.com/~hoppe/ Raskar, "Image Precision Silhouette Edges" University of North Carolina at Chapel Hill. Microsoft ACC Research, 1999 Symposium on Interactive 3D Graphics Atlanta, GA: 135-231 (1999). Samet, "Applications of spatial data structures: computer graphics, image processing, and GIS." ADD University of Maryland, Addison-Wesley Publishing Company, Reading, MA: 1060-1064 (June, MI Taubin et al., "Progressive Forest Spilt Compression." IBM T.J. Watson Research Center, Yorktown AEE Heights, NY. Thomas et al., "The Illusion of Life: Disney Animation," Hyperion, 3:47-71, New York, NY 2MD **AFF** (1981)..Zeleznik et al., "SKETCH: An Interface for Sketching 3D Scenes." Brown University site of the AGG NSF Science and Technology Center for Computer Graphics and Scientific Visualization (1996). Zorin, D., Schroeder, P., and Sweldens, W. "Interpolating Subdivision for Meshes of Arbitrary **AHH** Topology." Tech. Rep. CS-TR-96-06, Caltech, Department of Computer Science, (1996). http://research.microsoft.com/-hoppe/#pm AII

Examiner Signature

h.

Date Considered

D. WAZE!

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.